

**UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION**

UNITED STATES OF AMERICA	)	
	)	No. 19 CR 669
v.	)	
	)	Judge Edmond E. Chang
GREGG SMITH	)	
	)	
	)	

**VERDICT FORM—GREGG SMITH**

We, the jury, find the defendant GREGG SMITH:

**I. Count Charging Conspiracy to Conduct or Participate in an Enterprise Engaged in a Pattern of Racketeering Activity**

On **Count One**:                      Not Guilty    ☐                      Guilty    ☐

**II. Count Charging Conspiracy to Commit Price Manipulation, Wire Fraud Affecting a Financial Institution, Commodities Fraud, and Spoofing**

On **Count Two**:                      Not Guilty    ☐                      Guilty    ☐

**III. Count Charging Attempted Price Manipulation**

On **Count Three**:                      Not Guilty    ☐                      Guilty    ☐

**IV. Counts Charging Wire Fraud Affecting a Financial Institution**

On **Count Five**:                      Not Guilty    ☐                      Guilty    ☐

On **Count Six**:                      Not Guilty    ☐                      Guilty    ☐

On **Count Seven**:                      Not Guilty    ☐                      Guilty    ☐

On **Count Eight**:                      Not Guilty    ☐                      Guilty    ☐

On **Count Nine**:                      Not Guilty    ☐                      Guilty    ☐

On **Count Ten**:                      Not Guilty    ☐                      Guilty    ☐

On **Count Eleven**:                      Not Guilty    ☐                      Guilty    ☐

On **Count Twelve**:                      Not Guilty    ☐                      Guilty    ☐

**V.      Count Charging Commodities Fraud**

On **Count Twenty-Four**:                      Not Guilty    ☐                      Guilty    ☐

**VI.     Count Charging Spoofing**

On **Count Twenty-Six**:                      Not Guilty    ☐                      Guilty    ☐

**Signed:**

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FOREPERSON	
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DATED: \_\_\_\_\_

**UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION**

UNITED STATES OF AMERICA	)	
	)	No. 19 CR 669
v.	)	
	)	Judge Edmond E. Chang
MICHAEL NOWAK	)	
	)	
	)	

**VERDICT FORM—MICHAEL NOWAK**

We, the jury, find the defendant MICHAEL NOWAK:

**I. Count Charging Conspiracy to Conduct or Participate in an Enterprise Engaged in a Pattern of Racketeering Activity**

On Count One:                      Not Guilty    ☐                      Guilty    ☐

**II. Count Charging Conspiracy to Commit Price Manipulation, Wire Fraud Affecting a Financial Institution, Commodities Fraud, and Spoofing**

On Count Two:                      Not Guilty    ☐                      Guilty    ☐

**III. Count Charging Attempted Price Manipulation**

On Count Four:                      Not Guilty    ☐                      Guilty    ☐

**IV. Counts Charging Wire Fraud Affecting a Financial Institution**

On Count Thirteen:                      Not Guilty    ☐                      Guilty    ☐

On Count Fourteen:                      Not Guilty    ☐                      Guilty    ☐

On Count Fifteen:                      Not Guilty    ☐                      Guilty    ☐

On **Count Sixteen:**                      Not Guilty      ☐                      Guilty      ☐

On **Count Seventeen:**                      Not Guilty      ☐                      Guilty      ☐

On **Count Eighteen:**                      Not Guilty      ☐                      Guilty      ☐

On **Count Nineteen:**                      Not Guilty      ☐                      Guilty      ☐

On **Count Twenty:**                      Not Guilty      ☐                      Guilty      ☐

On **Count Twenty-One:**                      Not Guilty      ☐                      Guilty      ☐

On **Count Twenty-Two:**                      Not Guilty      ☐                      Guilty      ☐

**V.      Count Charging Commodities Fraud**

On **Count Twenty-Five:**                      Not Guilty      ☐                      Guilty      ☐

**VI.      Count Charging Spoofing**

On **Count Twenty-Seven:**                      Not Guilty      ☐                      Guilty      ☐

**Signed:**

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FOREPERSON

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**UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION**

UNITED STATES OF AMERICA	)	
	)	No. 19 CR 669
v.	)	
	)	Judge Edmond E. Chang
JEFFREY RUFFO	)	
	)	
	)	

**VERDICT FORM—JEFFREY RUFFO**

We, the jury, find the defendant JEFFREY RUFFO:

**I. Count Charging Conspiracy to Conduct or Participate in an Enterprise Engaged in a Pattern of Racketeering Activity**

On **Count One**:                      Not Guilty     ☐                      Guilty     ☐

**II. Count Charging Conspiracy to Commit Price Manipulation, Wire Fraud Affecting a Financial Institution, Commodities Fraud, and Spoofing**

On **Count Two**:                      Not Guilty     ☐                      Guilty     ☐

**Signed:**

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FOREPERSON

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